



Docket No. 34648-00453USPT  
P13736US2  
EMN 2000067

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Georgios Karagiannis, et al.

) Group Art Unit: Unknown

Serial No.: 09/909,331

) Examiner:

Filed: July 19, 2001

)

For: DYNAMIC QoS MANAGEMENT IN DIFFERENTIATED SERVICES USING  
BANDWIDTH BROKERS, RSVP AGGREGATION AND LOAD CONTROL  
PROTOCOLS

To the Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231	
on	Sept. 28, 2001
Signature CAROL YOUNG	

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicant is aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are

not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Respectfully submitted,



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on Sept 28, 2001  
Carol Young  
 Signature CAROL YOUNG

Re: Applicants: Georgios Karagiannis; et al.  
 Serial No. 09/909,331  
 Filing Date: July 19, 2001  
 For: DYNAMIC QoS MANAGEMENT IN DIFFERENTIATED SERVICES  
 USING BANDWIDTH BROKERS, RSVP AGGREGATION AND LOAD  
 CONTROL PROTOCOLS  
 Docket No.: 34648-00453USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

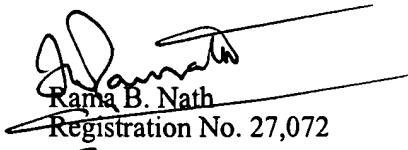
1. Information Disclosure Statement
2. Form PTO-1449
3. References C1 - C12
4. Postcard acknowledgment

Please address all communications related to this to:

Spencer C. Patterson  
 Jenkens & Gilchrist, P.C.  
 1445 Ross Avenue, Suite 3200  
 Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,



Rama B. Nath  
 Registration No. 27,072



<b>Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant (Use several sheets if necessary)</b>		<b>Docket No.:</b> <b>34648-00453USPT</b>		<b>Serial No.:</b> <b>09/909,331</b>		
		<b>Applicants:</b> <b>Georgios Karagiannis, et al.</b>				
<b>U.S. Patent Department of Commerce Patent and Trademark Office</b>		<b>Filing Date:</b> <b>July 19, 2001</b>		<b>Group:</b> <b>Unknown</b>		
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initial		Document No.	Date	Name	Class RECEIVED Oct 15 2001 Group 2100	
	A-1					
	A-2					
<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initial		Document No.	Date	Country	<b>Translation</b>	
					Yes	No
	B-1					
	B-4					
<b>OTHER DOCUMENTS</b>						
Examiner Initials		<b>Author, Title, Date, Pertinent Pages, Etc.</b>				
	C-1	Bernet, Y., Binder, J., Blake, S., Carlson, M., Davies, E., Ohlman, B., Werna, D., Wang, Zh., Weiss, W., "A Framework for Differentiated Services", draft-ietf-diffserv-framework-02.txt, February 1999.				
	C-2	Bernet, Y., Yavatkar, R., Ford, P., Baker, F., Zhang, L., Speer, M., Braden, R., Davis, B., felstaine, E., "Framework for Integrated Services operation over Diffserv Networks", draft-ietf-issel-diffserv-rsvp-04.txt, March 2000 (work in progress)				



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Sheet 2 of 2

C-3	Durham, D., Yavatkar, R., "Inside the Internet's Resource reSerVation Protocol: Foundations for Quality of Service", John Willey & Sons, Inc., 1999.
C-4	Braden, R., Clark, D., Shenker, S., "Integrated Services in the Internet Architecture: An Overview", IETF RFC1633, 1994.
C-5	Case, J., McCloghrie, K., Rose, M., and S. Waldbusser, "Protocol Operations for Version 2 of the Simple Network Management Protocol (SYMPv2)", RFC 1905, 1996.
C-6	Braden, R. Zhang, L., Berson, S., Herzog, S., Jamin, S., "Resource Reservation Protocol (RSVP) Version 1 Functional Specification", IETF RFC 2205, 1997.
C-7	Wroclawski, J., "The use of RSVP with IETF integrated Services", IETF RFC 2210, 1997.
C-8	Blake, S., Black, D., Carlson, M., Davies, E., Wang, Zh., Weiss, W., "An architecture for Differentiated Services", IETF RFC 2475, 1998.
C-9	Heinanen, J., Baker, F., Weiss, W. Wroclawski, J., "Assured Forwarding PHB group", IETF RFC 2597, 1999.
C-10	Jacobson, V., Nichols, K., Poduri, K., "An Expedited Forwarding PHB", IETF RFC 2598, 1999.
C-11	Nichols, K., Jacobson, V., Zang, L., "A two-bit Differentiated Services Architecture for the Internet", IETF RFC 2638, 1999.
C-12	Durham, D., Boyle, J., Cohen, R., Herzog, S., Raja, R., Sastry, A., "The COPS (Common Open Policy Service) Protocol", IETF RFC, January 2000.

Date Examined:	Examiner:
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